



Quote Entitlement Manager User Guide

Contents

1.	Getting Started.....	1
1.1	Obtaining username and password	1
1.2	Logging in	1
1.3	Disclaimer.....	1
2.	Finding Trader	2
2.1	Search.....	2
2.2	Understanding the Result	2
2.2.1	Manage Quote Entitlements	3
2.2.2	Agreement Status	4
2.2.3	Trader Status.....	4
2.3	Troubleshooting	4
2.3.1	No Trader Found	4
3.	Adding/Removing Quotes.....	5
3.1	Updating Quote Entitlements	5

1. Getting Started

1.1 Obtaining username and password

To receive a login for the system, please send a request to Sterling.

1.2 Logging in

Once you have received your login, you can access Quote Entitlement Manager at <http://oqm.sterlingtrader.com/QuoteEntitlementManager>. This link can also be found on our website (<http://sterlingtrader.com>) under the Support tab.

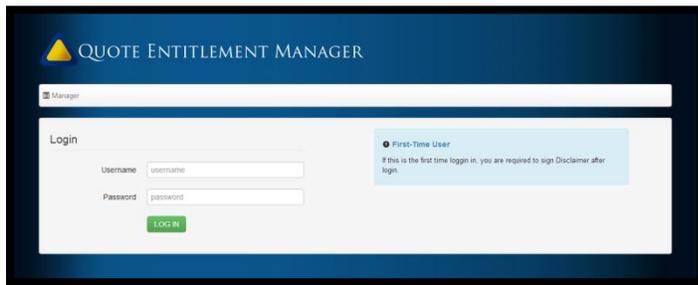


Figure 1. Quote Entitlement Manager Login page

1.3 Disclaimer

In order to use Quote Entitlement Manager, you are required to sign Disclaimer. If you login for the first time, you will be redirected to Disclaimer page, where you can click “I Agree” to electronically sign Disclaimer. Once you sign, you are allowed to use quote manager.

2. Finding Trader

2.1 Search

After login, you will see the manager screen (Figure 2).

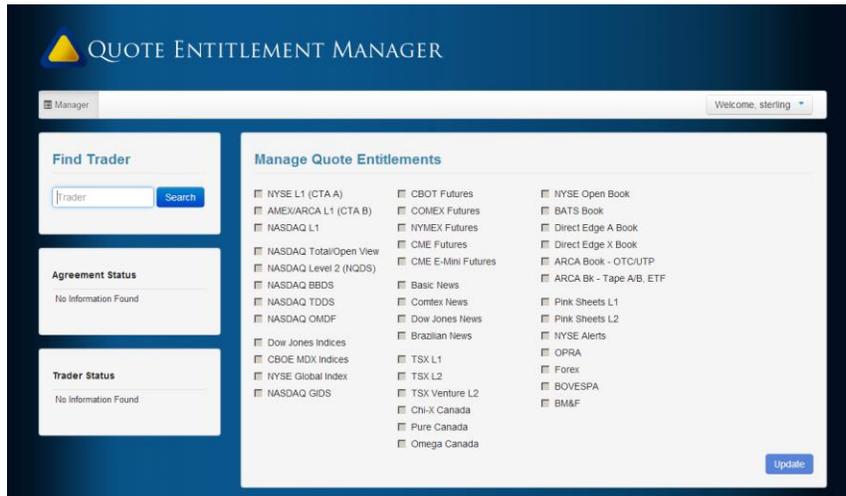


Figure 2. Quote Entitlement Manager manager page

To search for a trader, enter trader's name^[1] in "Trader" box and click "Search". After loading screen disappears, you will see the trader's current information.

[1] Trader's name will be converted to capitalized letters before search

2.2 Understanding the Result

There are three result sections

- Manage Quote Entitlements
- Agreement Status
- Trader Status

2.2.1 Manage Quote Entitlements

Manage Quote Entitlements		
<input checked="" type="checkbox"/> NYSE L1 (CTA A)	<input checked="" type="checkbox"/> CBOT Futures	<input checked="" type="checkbox"/> NYSE Open Book
<input checked="" type="checkbox"/> AMEX/ARCA L1 (CTA B)	<input checked="" type="checkbox"/> COMEX Futures	<input checked="" type="checkbox"/> BATS Book
<input checked="" type="checkbox"/> NASDAQ L1	<input checked="" type="checkbox"/> NYMEX Futures	<input checked="" type="checkbox"/> Direct Edge A Book
<input checked="" type="checkbox"/> NASDAQ Total/Open View	<input type="checkbox"/> CME Futures	<input checked="" type="checkbox"/> Direct Edge X Book
<input type="checkbox"/> NASDAQ Level 2 (NQDS)	<input type="checkbox"/> CME E-Mini Futures	<input checked="" type="checkbox"/> ARCA Book - OTC/UTP
<input checked="" type="checkbox"/> NASDAQ BBDS	<input checked="" type="checkbox"/> Basic News	<input checked="" type="checkbox"/> ARCA Bk - Tape A/B, ETF
<input checked="" type="checkbox"/> NASDAQ TDDS	<input checked="" type="checkbox"/> Comtex News	<input checked="" type="checkbox"/> Pink Sheets L1
<input checked="" type="checkbox"/> NASDAQ OMDF	<input checked="" type="checkbox"/> Dow Jones News	<input checked="" type="checkbox"/> Pink Sheets L2
<input checked="" type="checkbox"/> Dow Jones Indices	<input checked="" type="checkbox"/> Brazilian News	<input checked="" type="checkbox"/> NYSE Alerts
<input checked="" type="checkbox"/> CBOE MDX Indices	<input checked="" type="checkbox"/> TSX L1	<input checked="" type="checkbox"/> OPRA
<input checked="" type="checkbox"/> NYSE Global Index	<input type="checkbox"/> TSX L2	<input checked="" type="checkbox"/> Forex
<input checked="" type="checkbox"/> NASDAQ GIDS	<input checked="" type="checkbox"/> TSX Venture L2	<input checked="" type="checkbox"/> BOVESPA
	<input type="checkbox"/> Chi-X Canada	<input checked="" type="checkbox"/> BM&F
	<input checked="" type="checkbox"/> Pure Canada	
	<input checked="" type="checkbox"/> Omega Canada	

Figure 3. Trader's Quote Entitlements status

As shown in Figure 3, depending on trader's agreement status and manager's quote permissions, each quote has different format.

Whether checkbox is checked

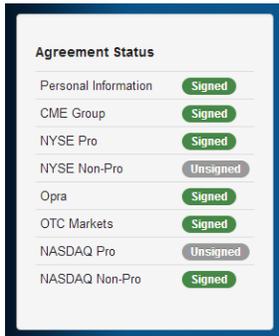
Each checked/unchecked checkbox indicates current entitlement status of the trader. If the checkbox is checked, corresponding quote is currently entitled to the trader—if unchecked, not entitled.

Whether grayed out

If a checkbox and its corresponding quote label is grayed out, that quote is not allowed to be modified due to any of following reasons.

- The user is not permitted to modify the quote
- The trader has not signed the required agreement

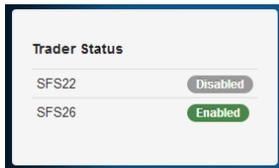
2.2.2 Agreement Status



This section displays trader’s currently signed agreements status (Figure 4). Signed agreements have green “Signed” labels, while unsigned agreements have gray “Unsigned” labels. The signed status of each agreement determines whether each quote can or cannot be entitled to the trader.

Figure 4. Trader's agreement status

2.2.3 Trader Status



As shown in Figure 5, this section displays trader’s status (enabled/disabled) on each server that the trader exists. Enabled servers have green “Enabled” labels, while Disabled servers have gray “Disabled” labels.

Figure 5. Trader's server status

2.3 Troubleshooting

2.3.1 No Trader Found

If no trader is found and the result screen stays same without any trader information, there are mainly three reasons.

- Incorrect trader name
- Limited firms list – if the trader exists in firm A, but you are only allowed to search through firm B, C, and D, you cannot modify the trader
- Unsigned personal info page – if the trader has not signed the personal info page, no result will be returned

If any of above reasons seems to be the cause of the problem, please contact Sterling’s support team.

3. Adding/Removing Quotes

PLEASE NOTE :

Once the user adds quotes in the Quote Entitlement Manager, and clicks “Update”, that trader will be charged for those quotes.

3.1 Updating Quote Entitlements

By checking/un-checking checkboxes next to quote names, you can add/remove quotes for the trader. You cannot modify the quotes that are grayed out. When you click “Update” button in Figure 3, a confirmation box will pop up. Once confirmed, new quote entitlements information will be applied to the trader in each server that the trader exists.